



ARIHANT capital markets Ltd.

www.arihantcapital.com

Arihant Financial Planning: Code of Ethics

At Arihant we have adopted code of ethics to guide performance of all services. Our code is based on one recommended by the Financial Planning Standard Board of India (www.fpsbindia.org). We require all employees, both Certified Financial Planner^{cm} and others to abide by this code and adhere to the following seven key principles:

1. **Integrity** - We will observe high standards of honesty in conducting financial planning business and shall offer and provide financial planning services with integrity.
2. **Objectivity** - We will disclose to the client any limitation on ability to provide objective financial planning services.
3. **Competence** - We will provide competent financial planning services and maintain the necessary knowledge and skill to continue to do so.
4. **Fairness** - We will perform professional services in a manner that is fair and reasonable to clients, principals, partners and employers. We will disclose any and all conflicts of interest in providing such services.
5. **Confidentiality** - We will not disclose any confidential client information without the specific consent of the provider of that information unless compelled by law or as required to fulfill their legal obligations.
6. **Professionalism** - Our conduct in all matters will reflect a credit upon the profession.
7. **Diligence** - We will act with due skill, care and diligence in providing financial planning services.