

STOCK BROKER

Annexure – B

Data for every month ending 30th September 2026

SNO	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of month**		Average resolution on time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from investors	0	0	0	0	0	0	-
2	SEBI (SCORES 2.0)	3	6	5	4	0	0	-
3	Stock Exchanges	0	0	0	0	0	0	-
4	Other Sources (if any)	2	1	1	2	0	0	-
5	Grand Total	5	7	6	6	0	0	-

Trend of monthly disposal of complaints

S NO	Month	Carried Forward from previous Month	Received	Resolved*	Pending**
1	April – 2026	2	3	1	4
2	May – 2026	4	8	2	10
3	June – 2026	10	5	9	6
4	July – 2026	6	3	7	2
5	August – 2026	2	4	1	5
6	September – 2026	5	7	6	6
7	October – 2026	0	0	0	0
8	November – 2026	0	0	0	0
9	December – 2026	0	0	0	0
10	January – 2027	0	0	0	0
11	February – 2027	0	0	0	0
12	March – 2027	0	0	0	0
	Grand Total	2	30	26	6

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



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Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of year
1	2017-18	0	6	6	0
2	2018-19	0	14	14	0
3	2019-20	0	7	7	0
4	2020-21	0	9	9	0
5	2021-22	0	3	3	0
6	2022-23	0	7	6	1
7	2023-24	1	22	8	15
8	2024-25	15	37	49	3
9	2025-26	3	56	57	2
	GrandTotal	19	161	159	2