



ARIHANT capital markets Ltd.

Use this form to enroll as a sub-broker with Arihant. Please take a print of this application, fill all the information and send it to us. For any queries regarding filling the form, email us at: businesspartner@arihantcapital.com

DETAILS OF SUBBROKER

1) COMPANY DETAILS

Organisation Type: INDIVIDUAL

Business Name (if any): _____

Pan No.: _____

2) DETAILS OF INDIVIDUAL/PROPRIETOR/ PARTNER/ DIRECTOR

Name: _____

Father's Name: _____

Father's occupation: _____

Date of Birth:

D	D	M	M	Y	Y	Y	Y
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 Age: _____ years

Office Address: _____

City: _____ State _____

Pin Code:

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Home Address: _____

City: _____ State _____

Pin Code:

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Telephone (O) _____ (R) _____ (M) _____

Fax: _____

Email Address: _____ Yahoo Chat Id (if any): _____

Current Occupation: _____

Family Details: No of brother's _____ No. of sisters _____ Son (s) _____ Daughter (s) _____

(fill all family members details in the table below)

Relation	Name	Age	Occupation	Employer name	Earnings	Education

3) QUALIFICATIONS

Examination	Board/University	Year Of Passing	% Of Marks

4) WORK EXPERIENCE

INDUSTRY	DETAILS
Capital Market	
Other (Please Specify)	

Past Three Years Networkworth **

Year	Amount

If capital market (stock broking) then answer the following:

Current client base _____

Business as a sub-broker (volume in Rs.pm) _____

Main broker (if any) _____

5) START-UP DETAILS

The following details are for the new office that would be set-up as an Arihant sub-broker.

Person in charge Office: _____

Telephone: (_____) _____ Mobile +91 _____

Email Address: _____

No. Of employees: _____ Office space (area) _____ (in sq.ft.)

Mode of Connectivity: Bharti VSAT CTCL Internet BSE WEBX Leased Line Diet ODIN

Deposits Against: _____ Rs

Exposure Margin (min. 1 Lacs Each Segment): Rs 1,00,000 x <small>no. of seg.</small> =	
LD Software (Rs 35,000/ Rs 70,000)	
LD Diet Software (Rs 5,000 p.a. AMC)	
CTCL	
VSAT Bharti	
Leased Line	
Falcon (Rs 2,500)	
Total Deposit Required	

7) Segment: NSE BSE NSE F&O NCDEX MCX Depository
(Please tick whichever segment is required)

8) Bank A/c Details (with Address, A/c no. and Type of A/c):

Bank Account Number _____

Type of Account _____

Bank Address _____

[*Note: We require electronic banking facility.]

9) NCFM and BCFM: **NCFM Passed** YES NO **BCFM Passed** YES NO (If yes Details)

Name	Registration Number		Year of Passing	
	NCFM	BCFM	NCFM	BCFM
Derivatives Market				
Capital Market				
Commodities Market				
Mutual Fund				
NSDL (depository)				

9) PROPOSED SHARING OF INCOME (BROKERAGE)

Basis : Sharing / Fixed

If sharing : Sharing ____% B.A. ____%

Subject to min Min SQ Up ____ Normal SqUp % ____

(Member share) Min Del ____ Normal Del % ____

Charges: extra Turnover/Stamp/Incidental

If fixed brokerage

: Min SQ Up ____ Normal SqUp % ____

Min Del ____ Normal Del % ____

Charges: ____ Turnover/Stamp/Incidental

10) REQUIREMENTS

i) Contract Notes (if Required from HO):

Qty.

For NSE: _____

For BSE: _____

For COMMODITY: _____

ii) Account Opening Forms Trading/Demat (If Required from HO) (insert quantity)

Trading A/C: _____

Demat A/C: _____

iii) Limit: Exposure _____ times Turnover _____ times

iv) In case of Pay-Out of clients (Funds):

Name of Collecting Person: _____

Axis Bank Branch Code & Address: _____

v) LD (Backoffice Required): YES NO

vi) Date of Starting (tentative):

D	D	M	M	Y	Y	Y	Y
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vii) UPS Available Online: YES NO

viii) Email ID's for Research reports: _____

ix) Mobile Number (for SMS regarding research calls): +91 _____

x) Sign board size: _____

xi) Visiting card: _____

xii) Brochure Requirement: Retail Qty. _____ Product Brochure (specify product) Qty. _____

xiii) Earthing: If VSAT : Proper Separate Earthing : YES NO

xiv) Falcon (Technical analysis software): YES NO

xv) Online trading Demo ID required: YES NO

xvi) MF/ IPO Code required: YES NO

**** [Please submit a copy of Income Tax return (together with Balance Sheet and acknowledgement of IT Department) of past three years as a proof of Net Worth shown by you.]**